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PATENT

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By: _____

Jeff Hibbett
Jeff Hibbett

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of SHANAZ H. DAIRKEE, et al.

Serial No.: 09/816,460

Examiner: Not Yet Assigned

Confirmation No.: 6434

Art Unit: 1643

Filed: March 23, 2001

For: PROGNOSTIC METHODS FOR BREAST CANCER

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08 for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
- ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
- ☐ a statement as specified in 37 C.F.R. §1.97(e).
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The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

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Dated: July 6, 2001

Cooley Godward LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663

Respectfully submitted,
COOLEY GODWARD LLP

By:



Marcella Lillis, Ph.D.
Reg. No. 36,583



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Complete if Known

Application Number	09/816,460
Filing Date	March 23, 2001
First Named Inventor	Shanaz H. Dairkee
Group Art Unit	1643
Examiner Name	Not Yet Assigned
Attorney Docket Number	CPMC-010/00US

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Sheet	1	of	2
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Examiner Signature		Date Considered	
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PTO/SB/08A (08-00)

Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/816,460
				Filing Date	March 23, 2001
				First Named Inventor	Shanaz H. Dairkee
				Group Art Unit	1643
				Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	CPMC-010/00US

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	D1	ALI, IQBAL UNNISA, et al., "Presence of Two Members of c-erbA Receptor Gene Family (C-erbAβ and c-erbA2) in Smallest Region of Somatic Homozygosity on Chromosome 3p21-p25 in Human Breast Carcinoma," <u>Journal of the National Cancer Institute</u> , December 6, 1989, pgs. 1815-1820, vol. 81, no. 23		
	D2	CHEN, LING-CHUN, et al., "Heterogeneity for Allelic Loss in Human Breast Cancer," <u>Journal of the National Cancer Institute</u> , April 1, 1992, pgs. 506-510, vol. 84, no. 7		
	D3	CHEN, LING-CHUN, et al., "Deletion of Two Separate Regions on Chromosome 3p in Breast Cancers," <u>Cancer Research</u> , June 1, 1994, pgs. 3021-3024, vol. 54, no. 11		
	D4	DENG, GUOREN, et al., "Loss of Heterozygosity and p53 Gene Mutations in Breast Cancer," <u>Cancer Research</u> , January 15, 1994, pgs. 499-505, vol. 54, no. 2		
	D5	DENG, GUOREN, et al., "Loss of Heterozygosity in Normal Tissue Adjacent to Breast Carcinomas," <u>Science</u> , December 20, 1996, vol. 274		
	D6	MATSUMOTO, SATOSHI, et al., "Detailed Deletion Mapping of Chromosome Arm 3p in Breast Cancers: A 2-cM Region on 3p14.3-21.1 and a 5-cM Region on 3p24.3-25.1 Commonly Deleted in Tumors," <u>Genes, Chromosomes and Cancer</u> , 1997, pgs. 268-274, vol. 20		
	D7	MATSUMOTO, SATOSHI, et al., "Loss of heterozygosity at 3p24-p25 as a prognostic factor in breast cancer," <u>Cancer Letters</u> , April 28, 2000, pgs. 63-69, vol. 152, no. 1		
	D8	O'CONNELL, PETER, et al., "Molecular genetic studies of early breast cancer evolution," <u>Breast Cancer Research and Treatment</u> , 1994, pgs. 5-12, vol. 32		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

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